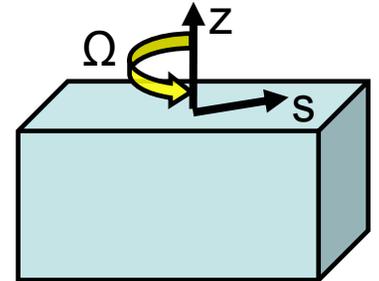


Convection in rotating systems

Consider rotating frame of reference with rotation vector $\mathbf{\Omega} = \Omega \mathbf{e}_z$ (s is coordinate $\perp \mathbf{e}_z$). Two (pseudo-)force terms (Coriolis and centrifugal) are added to Navier-Stokes equation: $D\mathbf{u}_R/Dt = D\mathbf{u}_I/dt + 2\Omega \mathbf{e}_z \times \mathbf{u}_R + \Omega^2 s \mathbf{e}_s$. Centrifugal acceleration \Rightarrow gradient of a potential, can be added to gravitational potential \Rightarrow modified gravity vector $\mathbf{g}' = \mathbf{g} - \Omega^2 s \mathbf{e}_s$ (drop prime later).

$$\rho \left(\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) + 2\rho\Omega \mathbf{e}_z \times \mathbf{u} + \nabla p = \eta \nabla^2 \mathbf{u} + \rho \mathbf{g}'$$

Inertia
Coriolis
pressure
viscosity
mod. gravity



To estimate relative size of terms, scale velocity by U , length by D and time by D/U , to obtain non-dimensional equation (gravity omitted):

$$Ro \left(\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) + 2 \mathbf{e}_z \times \mathbf{u} + \nabla p = E \nabla^2 \mathbf{u}$$

$Ro = \frac{U}{\Omega D}$
 $E = \frac{\nu}{\Omega D^2}$

Rossby number
Ekman number

Earth's core: $\Omega = 7.6 \times 10^{-5} \text{ s}^{-1}$, $U = 0.5 \text{ mm/s}$, $D = 2000 \text{ km}$, $\nu = 2 \times 10^{-6} \text{ m}^2/\text{s}$ \Rightarrow $Ro = 3 \times 10^{-6}$ $E \approx 10^{-14}$
Jupiter's outer shell: $\Omega = 1.7 \times 10^{-4} \text{ s}^{-1}$, $U = 100 \text{ m/s}$, $D = 10^4 \text{ km}$, $\nu = 10^{-5} \text{ m}^2/\text{s}$ \Rightarrow $Ro = 0.05$ $E \approx 10^{-15}$

Symbols (index R - rotating reference frame, I – inertial frame): Ω – rotation frequency, \mathbf{g}' – modified gravity, U – characteristic velocity, D – characteristic length (e.g. layer height), Ro – Rossby number, E – Ekman number

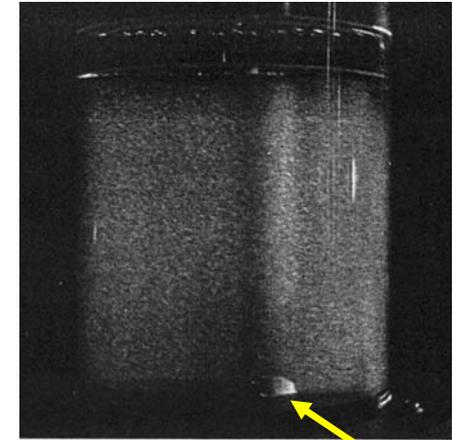
Proudman-Taylor theorem

When both $E \ll 1$ and $Ro \ll 1$, the dominant force balance is between pressure gradient and Coriolis force (**geostrophic balance**): $2\mathbf{e}_z \times \mathbf{u} = \nabla p$. Take curl, use rule $\nabla \times (\mathbf{a} \times \mathbf{b}) = (\mathbf{b} \cdot \nabla)\mathbf{a} - (\mathbf{a} \cdot \nabla)\mathbf{b} + \mathbf{a} \nabla \cdot \mathbf{b} - \mathbf{b} \nabla \cdot \mathbf{a}$, to obtain for $\nabla \cdot \mathbf{u} = 0$

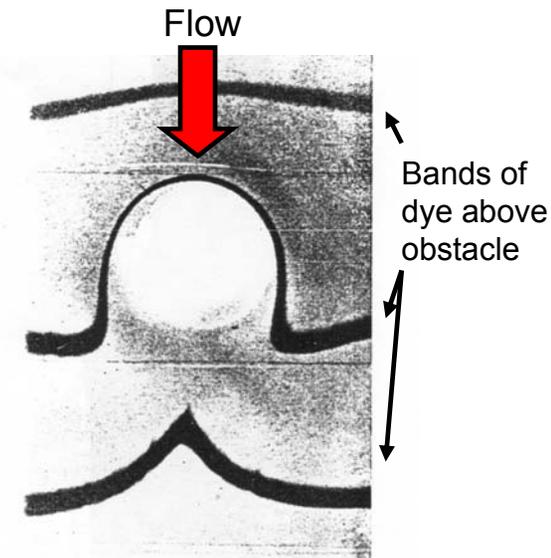
$$\frac{\partial \mathbf{u}}{\partial z} = 0 \quad (\textit{likewise} \quad \frac{\partial p}{\partial z} = 0)$$

Proudman-Taylor theorem: flow does not change in the direction of the rotation axis

- If the fluid is bounded by impenetrable walls in z-direction $\Rightarrow u_z = 0$ everywhere.
- 2D-streamlines follow isobaric lines ($p = \text{const}$) and $|\mathbf{u}| \sim |\nabla p|$ (geostrophic flow)
- In practice, the Proudman-Taylor theorem is often not satisfied perfectly, but the fluid has a „dynamical stiffness“ along to the rotation axis



Rotating cylinder Obstacle



Ekman boundary layer

At no-slip boundaries \mathbf{u} must drop to zero. If they are not parallel to \mathbf{e}_z , the P-T-theorem cannot be satisfied near the boundary. In order to violate it, some other force term must be of the same order as the Coriolis/pressure term. Even for a small value of E , the viscous term becomes large if the velocity changes on a small length scale

$$\delta \sim E^{1/2} \quad \text{in dimensional units:} \quad \delta = D E^{1/2} = (\nu/\Omega)^{1/2}.$$

δ is the **Ekman layer thickness**. For a geostrophic flow $\mathbf{u} = (u,v,w) = (U,0,0)$ far away from the boundary, the solution to the Navier-Stokes equation near the boundary is:

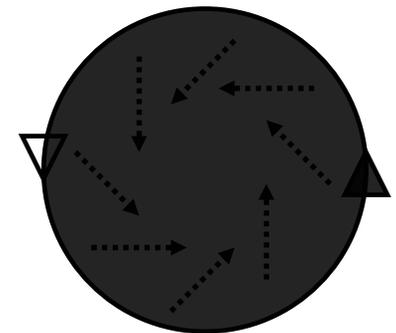
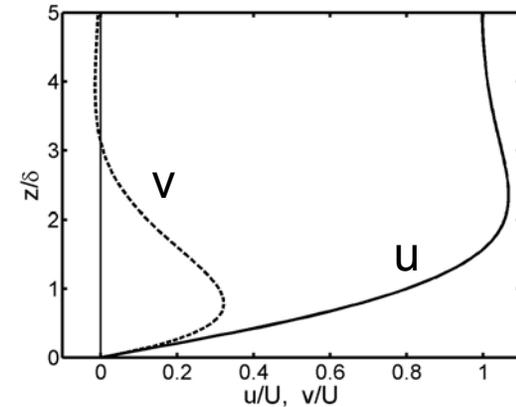
$$u = U (1 - \exp(-z/\delta)) \cos(z/\delta) \quad v = U \exp(-z/\delta) \sin(z/\delta)$$

Near the rigid boundary, the flow forms a 45° angle to the geostrophic flow.

When the geostrophic flow forms a vortex that rotates in the same sense as the basic rotation (associated with low pressure), there is a net flow towards the center of the vortex in the Ekman layer (**Ekman suction or Ekman pumping**). There must be a vertical flow away from the boundary.

The opposite occurs for an anticyclonic vortex.

In the Earth's core the Ekman layer thickness is $\delta \approx 0.2$ m. If the flow becomes turbulent, the boundary can become much thicker.



 geostrophic flow
 flow in Ekman layer

Symbols: δ – Ekman layer thickness, u,v,w – cartesian velocity components, U – geostrophic flow velocity

Rotating convection: poloidal / toroidal decomposition

Assume that gravity is parallel to rotation axis: $\mathbf{g} = -g \mathbf{e}_z$. \mathbf{U} not known a-priori - take D^2/κ as basic time scale (as before). Assume free-slip boundaries and $T(z=0)=1$, $T(z=1)=0$. This time do not make the assumption of 2D-flow.

$$\frac{1}{\text{Pr}} \left(\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) + \frac{2}{E} \mathbf{e}_z \times \mathbf{u} + \nabla p = \nabla^2 \mathbf{u} + Ra T \mathbf{e}_z \quad \nabla \cdot \mathbf{u} = 0$$

$$\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T = \nabla^2 T$$

Represent velocity by poloidal potential Φ and toroidal potential Ψ (satisfies $\nabla \cdot \mathbf{u} = 0$ implicitly):

$$\mathbf{u} = \nabla \times [\nabla \times \Phi \mathbf{e}_z] + \nabla \times \Psi \mathbf{e}_z \quad (\mathbf{u}, \mathbf{v}, \mathbf{w}) = (\partial_{xz} \Phi + \partial_y \Psi, \partial_{yz} \Phi - \partial_x \Psi, \Delta_2 \Phi) \quad \text{where} \quad \Delta_2 := \partial_{xx} + \partial_{yy}$$

The toroidal part of the flow \mathbf{u}_{tor} has no z-component and $\nabla \times \mathbf{u}_{\text{pol}}$ has no radial component. Linearize the Navier-Stokes equation and take (1) the curl of the equation and (2) the curl of the curl and consider the z-component in both cases:

$$\frac{1}{\text{Pr}} \frac{\partial}{\partial t} \Delta_2 \Psi = \nabla^2 \Delta_2 \Psi + \frac{2}{E} \frac{\partial}{\partial z} \Delta_2 \Phi \quad \frac{1}{\text{Pr}} \frac{\partial}{\partial t} \nabla^2 \Delta_2 \Phi = \nabla^4 \Delta_2 \Phi - Ra \Delta_2 T - \frac{2}{E} \frac{\partial}{\partial z} \Delta_2 \Psi$$

Two scalar equations. Without the Coriolis term (i.e. for $E \rightarrow \infty$) the two equations decouple.

Symbols: (Notation: $\partial_x := \partial/\partial x$, $\Delta_2 = \partial_{xx} + \partial_{yy}$) Ψ - toroidal vector potential, Φ – poloidal potential

Rotating convection: Linear stability

Set $w = -\Delta_2\Phi$; $\zeta = -\Delta_2\Psi = (\nabla \times \mathbf{u})_z$; $T = (1-z) + \theta$. Linearize temperature equation:

$$\frac{1}{\text{Pr}} \frac{\partial}{\partial t} \zeta = \nabla^2 \zeta + \frac{2}{E} \frac{\partial}{\partial z} w; \quad \frac{1}{\text{Pr}} \frac{\partial}{\partial t} \nabla^2 w = \nabla^4 w + Ra \Delta_2 \theta - \frac{2}{E} \frac{\partial \zeta}{\partial z}; \quad \frac{\partial \theta}{\partial t} = w + \nabla^2 \theta$$

Isothermal, impenetrable free-slip boundaries: $w = \partial_{zz} w = \partial_z \zeta = \theta = 0$ at $z=0, z=1$.

Expansion in normal modes: $\theta(x,y,z) = \Theta_{klm} \exp(ik_x x + ik_y y + \sigma t) \sin(m\pi z)$

Satisfies boundary conditions. $w(x,y,z) = W_{klm} \exp(ik_x x + ik_y y + \sigma t) \sin(m\pi z)$

$m > 0$ integer. k_x, k_y real, σ complex. $\zeta(x,y,z) = Z_{klm} \exp(ik_x x + ik_y y + \sigma t) \cos(m\pi z)$

For $E \rightarrow \infty$ we recover the Rayleigh-Benard case, where $\zeta=0$. At the critical onset of convection, $\text{real}(\sigma)=0$. General case: $\sigma=i\omega$, consider here only case $\sigma=0$. Set $K^2 = k_x^2 + k_y^2$.

$$\frac{E}{2} \frac{K^2 + m^2 \pi^2}{m\pi} Z = W; \quad (K^2 + m^2 \pi^2) \Theta = W; \quad \frac{2}{E} m\pi Z + (K^2 + m^2 \pi^2)^2 W = Ra K^2 \Theta$$

Replace Z and Θ in 3rd equation by W . Divide by W to obtain critical Rayleigh number:

$$Ra_c(K, m) = \frac{(K^2 + m^2 \pi^2)^3}{K^2} + \frac{4}{E^2} \frac{m^2 \pi^2}{K^2}$$

Symbols: w – vertical velocity, ζ – z-component of vorticity, θ – temperature perturbation, k_x, k_y – wave number components, $K=|\mathbf{k}|$, σ – growth rate.

Rotating convection: Linear stability II

Search minimum of $Ra_c(K,m)$ (obviously at $m=1$): apply $K^4 d/d(K^2)$ and set to zero:

$$3(K^2+\pi^2)^2 K^2 - (K^2+\pi^2)^3 - 4E^{-2}\pi^2 = 0$$

The critical wavenumber is found as root of 3rd-order equation in K^2 . Consider here only asymptotic case $E \ll 1$. The 3rd term is very large, so K_{crit} must be large and only the leading power in K needs to be retained, $2K^6 \approx 4E^{-2} \pi^2$. Insert K_{crit} into expression for Ra_c , keeping again only the leading power:

$$Ra_{crit} = 3(2\pi^2)^{2/3} E^{-4/3} \approx 21.9 E^{-4/3} \quad K_{crit} = (2\pi^2)^{1/6} E^{-1/3} \approx 1.64E^{-1/3}$$

- 1) At low Ekman number, the critical Rayleigh number is very large. The wavenumber becomes large and the aspect ratio of a convection cell, $a=\pi/K_{crit}$, becomes small.

$E \gg 1$ (Benard convection): $Ra_{crit} = 657.5$ $a = 1.414$

$E = 10^{-6}$ (lab experiments): $Ra_{crit} = 2 \times 10^9$ $a = 0.020$

$E = 10^{-14}$ (core, gas planets) $Ra_{crit} = 2 \times 10^{21}$ $a = 4 \times 10^{-5}$

Rotational forces strongly inhibit convection. *Why is this so?*

⇒ Because the flow must violate the Proudman-Taylor theorem !

Symbols: a – aspect ratio of convection cell.

Discussion of linear stability results II

- 2) If Ra_{crit} is so high in the Earth's core, can it convect at all? Calculate critical ΔT , assuming appropriate values of other properties in the Rayleigh number ($\nu=2 \times 10^{-6}$, $\kappa=5 \times 10^{-6}$, $\alpha=10^{-5}$, $g=7$, $D=2.3 \times 10^6$ in SI units):

$$\Delta T = 2 \times 10^{21} \kappa \nu / (\alpha g D^3) \approx 3 \times 10^{-5} \text{ K}^{-1} \Rightarrow \text{very small } \Delta T \text{ enough for supercriticality.}$$

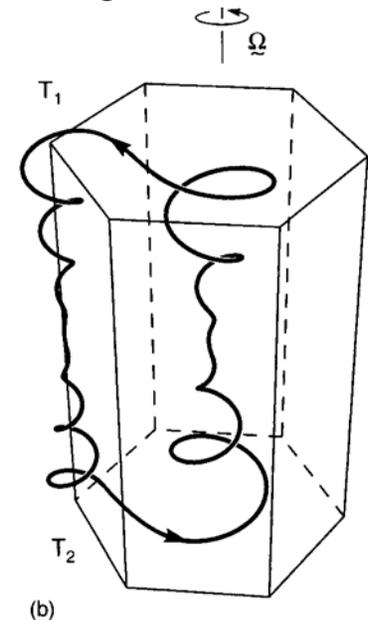
- 3) The condition of criticality can be written as $Ra E^{4/3} > 22$, or in terms of physical parameters:

$$\frac{\alpha g \Delta T D^{1/3} \nu^{1/3}}{\kappa \Omega^{4/3}} > 21.9$$

- unnamed non-dimensional number on left side
- layer thickness D has now only a small influence on the onset of convection
- increasing Ω impedes onset of convection
- increasing ν favors convection (**paradox**). *Why?* Viscous friction allows for deviation from the Proudman-Taylor theorem. This is also the reason for the small aspect ratio, since the frictional term is $\nu \nabla^2 \mathbf{u} \sim \nu U/a^2$
- an inviscid fluid, $\nu \rightarrow 0$, is stable for any temperature contrast

Discussion of linear stability results III

- 4) As in Benard convection, the 3-D pattern is not determined by the linear stability analysis (different k_x, k_y that result in the same K give the same result). 2D solutions (e.g. $k_y=0$) are possible, but for finite E the velocity is not zero in y -direction.
- 5) When K is large the ratio between Z and W is $Z = 2\pi/E K^{-2} W$. The toroidal velocity \mathbf{u}^T relates to ζ by $\zeta = [\nabla \times \mathbf{u}^T]_z$. The amplitude of the toroidal flow V^T in a modal representation is $V^T = Z/K$, therefore $V^T = 2\pi/E K^{-3} W = 2^{1/2} W$ at $K=K_{\text{crit}}$.
At low E , toroidal and poloidal velocities have similar amplitude. The toroidal flow changes sign at mid-depth ($z=1/2$) and rotates, in rising flow ($w>0$) in the same sense as the basic rotation for $z<1/2$ and opposite for $z>1/2$. The figure shows the path of a fluid particle moving in a hexagonal convection cell.
- 6) The product $H = \mathbf{u} \cdot (\nabla \times \mathbf{u})$ is called helicity. The convective flow at low E is very helical, with helicity changing sign at mid-depth. Helicity plays an important role for the dynamo process in a conducting fluid.
- 7) When we restrict the analysis to real values of σ , the result is independent of the Prandtl number. A more complete analysis shows that indeed $\text{Im}(\sigma)=0$ at Prandtl number $\text{Pr} > 0.68$. At $\text{Pr} < 0.68$, oscillating solutions are preferred at onset of convection ($\sigma=i\omega$), which can have a lower (but for $E \ll 1$ still very large) critical Rayleigh number.



Symbols: V^T – toroidal velocity amplitude, H – helicity. .

Discussion of linear stability results IV

- 8) What changes when rotation and gravity vector or not parallel ? Assume $\mathbf{g} = -g\mathbf{e}_z$ and $\mathbf{\Omega} = \Omega (\sin\beta \mathbf{e}_z + \cos\beta \mathbf{e}_y)$.

In the case $\beta=0^\circ$ the preferred flow consists of 2D-rolls aligned with the y-direction. This flow is not in conflict with the Proudman-Taylor theorem. At onset of convection the flow is identical to that of the Rayleigh-Benard case and has the same critical Rayleigh number (657.5) and critical aspect ratio ($2^{1/2}$). The only differences to the case without rotation are that now the pattern (rolls) and their alignment are constrained and that a strong pressure gradient between the center of the roll and its margins balances the Coriolis force (purely geostrophic convection possible).

When $\beta > 0^\circ$ rolls aligned with the y-direction are still preferred. Assuming this particular flow pattern, the terms describing the effect of the Coriolis force on slide 6.5 now take the form $2E^{-1}\sin\beta \partial/\partial z$, which can be expressed by an effective Ekman number $E' = E/\sin\beta$ and the critical Rayleigh number and critical wavenumber depend on E' in the same way as derived before for $\beta=90^\circ$. Unless β is very small, there is again a strong inhibition of convection by the effects of rotation.